

# ADMINISTRATIVE SET-ASIDE FFY20

	DOH	EFC
	Administrative	Administrative
Available Funds		
Funds from FFY2017	\$225,000.00	\$0.00
FFY 2020 Cap Grant (\$44,967,000)	\$0.00	\$1,798,680.00
Total Available	\$225,000.00	\$1,798,680.00
Category of Expenses for FFY 2020		
1. Personal Services	\$0.00	\$1,457,000.00
2. Fringe=DOH 57.71%, EFC 49.73%	\$0.00	\$725,000.00
3. Travel	\$0.00	\$3,300.00
4. Equipment	\$0.00	\$13,300.00
5. Supplies	\$100,000.00	\$21,500.00
6. Contractual	\$0.00	\$97,400.00
7. Construction	\$0.00	\$0.00
8. Other	\$0.00	\$0.00
Total Direct Charges	\$100,000.00	\$2,317,500.00
Indirect Charges DOH 0%,EFC 26.35%	\$0.00	\$575,000.00
Total	\$100,000.00	\$2,892,500.00
Balance of Available Funds	\$125,000.00	-\$1,093,820.00
Reconciliation of Funds		
Funds from FFY 2017	\$225,000.00	\$0.00
Less: Funds Expended	\$100,000.00	\$0.00
Balance of Remaining FFY2017	\$125,000.00	\$0.00
FFY 2020 Set-Aside Available	\$0.00	\$1,798,680.00
FFY 2020 Set-Aside Needed	\$0.00	\$2,892,500.00
FFY 2020 Set-Aside Remaining	\$0.00	-\$1,093,820.00

The difference between the available set-aside money and the cost to run the program will be made up by using other resources that may include fees that have been collected by the program.

**DWSRF FFY20 DOH Administrative  
Budget Backup**

Summary

Proposed Use of Funds:

Total Personal Service **\$0**

a. Personal Service – \$0

b. Non-Employee Services \$0

c. Overtime \$0

**Other Than Personal Services** **\$100,000**

a. Supplies and Materials \$ 100,000

General office supplies including photocopier/printer supplies, paper for all printers and copiers, materials for daily business shipping and mailing.

b. Travel \$ 0

c. Contractual Services \$0

Information Technology Services are provided to the Department of Health by the NYS Office of Information Technology.

d. Equipment \$ 0

e. Fringe Benefits @57.71% \$0

Health Insurance  
Pensions  
Social Security  
Workers' Compensation  
Employee Benefits Funds  
Dental Insurance  
Unemployment Benefits  
Vision Benefits  
Survivors' Benefits

f. Indirect Costs -waiver \$0

The Center for Environmental Health is requesting an indirect waiver for this grant.

**WORK PLAN - GRAND TOTAL:**

**\$100,000**

- The full amount of this set-aside for the New York State Department of Health will be paid using FFY2017 monies
- The New York State's Environmental Facilities Corporation, will use the full amount of the FFY2020 set-aside for their portion of the work.

NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION  
DRINKING WATER STATE REVOLVING FUND PROGRAM  
FFY 2020 BUDGET

	<u>Amount</u>
<u>Available Funds</u>	
Grant - Admin. Set-Aside	1,798,680
 <u>Projected Expenses</u>	
Personnel	1,457,000
Fringe Benefits (49.73%)	725,000
Supplies & Materials	21,500
Travel	3,300
Equipment Purchase & Maintenance	13,300
Contractual	97,400
Total Direct Charges	2,317,500
Indirect Charges (26.35%)	575,000
Total	<u>2,892,500</u>

## **DWSRF FFY'20 BREAKDOWN OF PROJECTED EXPENSES**

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**Personal Service Costs** 1,457,000

**Fringe benefits**

Consists of Health insurance, Social Security,  
Pensions, Worker's Compensation, Dental  
Insurance, Unemployment Insurance,  
Vision Benefits, Medicare, Long Term Disability

725,000

**Travel**

The Corporation's responsibilities with the Program  
will have staff travel to IUP hearings, application  
workshops and bond closings in New York City for  
DWSRF bond issues.

3,300

**Supplies & Materials**

General office supplies: pens, pencils, copier paper  
folders, calendars, calculators, toner cartridges, etc.

6,300

Books and Journals

15,200

21,500

**Equipment Purchase and Maintenance**

Computers Equipment such as servers, CPU's,  
monitors, back up systems, security appliance for  
computer, printer, copiers, etc.

13,300

**Contractual Services**

97,400

Printing of the DWSRF IUP, maintenance for the  
Corporation's toll free telephone line, training, insurance,  
temporary services if needed, postage:

Training and Conferences

300

Printing

400

Consultants

71,100

Insurance: DW share of Auto insurance, computer,

Liability and Property insurance

3,500

Postage

1,500

Telephone

13,500

Misc. Contractual

7,100

**Indirect costs**

575,000

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**Grand Total**

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**2,892,500**

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### TECHNICAL ASSISTANCE SET-ASIDE FFY20

<b>Available Funds</b>	<b>DOH Technical Assistance</b>
<b>FFY 2020 Grant (44,967,000)</b>	\$899,340.00
<b>Total Available</b>	\$899,340.00
<b>Category of Expenses for FFY 2020</b>	
<b>1. Personal Services</b>	\$491,156.00
<b>2. Fringe Benefits (57.71%)</b>	\$283,446.00
<b>3. Travel</b>	\$74,738.00
<b>4. Equipment</b>	\$0.00
<b>5. Supplies</b>	\$50,000.00
<b>6. Contractual</b>	\$0.00
<b>7. Construction</b>	\$0.00
<b>8. Other</b>	\$0.00
<b>Total Direct Charges</b>	\$899,340.00
<b>Indirect Charges (0%)</b>	\$0.00
<b>Total</b>	\$899,340.00
<b>Balance of Available Funds</b>	\$0.00
<b>FFY 2020 Set-Aside Available</b>	\$899,340.00
<b>FFY 2020 Set-Aside Needed</b>	\$899,340.00
<b>FFY 2020 Set-Aside Remaining</b>	\$0.00

**DWSRF FFY20 Technical Assistance  
Budget Backup**

Summary

Proposed Use of Funds:

Total Personal Service

**\$491,156**

a. Personal Service - Existing Positions

<b>TITLE/GRADE</b>	<b>ANNUAL SALARY</b>
Assistant Engineer, G-20	\$66,944
Assistant Engineer, G-20	\$62,726
Laboratory Specialist, G-18	\$68,092
Professional Engineer 1, G-24	\$97,448
Professional Engineer 1, G-24	\$84,630
Professional Engineer 2, G-27	\$111,316
<b>TOTAL:</b>	<b>\$491,156</b>

b. Non-Employee Services

N/A

c. Overtime

\$0

**Other Than Personal Services**

**\$124,738**

a. Supplies and Materials

\$ 50,000

General office supplies, sampling materials including sample bottles, coolers, chemicals and packing materials for shipping of samples

Technical equipment/meters used to test drinking water.

b. Travel

\$ 74,738

The Department's responsibilities and activities associated with the Technical Assistance Program require extensive travel by staff. Staff will travel to perform inspections, evaluations, attend negotiations, and public hearings as required. Program staff expected to be travelling are in the Engineering titles.

c.	Contractual Services	\$0
d.	Fringe Benefits @ 57.71%	\$283,446

Health Insurance  
Pensions  
Social Security  
Workers' Compensation  
Employee Benefits Funds  
Dental Insurance  
Unemployment Benefits  
Vision Benefits  
Survivors' Benefits

e. Indirect Costs – waiver \$0

The Center for Environmental Health is requesting an indirect waiver for this grant.

**EXPENDITURE PLAN - GRAND TOTAL:**

**\$899,340**



# **Program Management Set-Aside**

Available Funds	Administer PWSS Program
<b>Funds from FFY 2019</b>	\$3,250,000.00
<b>FFY 2020 Grant (\$44,967,000)</b>	\$4,496,700.00
<b>Total Available</b>	\$7,746,700.00
<b>Category of Expenses for FFY 2020</b>	
<b>1. Personal Services</b>	\$2,958,394.00
<b>2. Fringe Benefits (57.71%)</b>	\$1,707,290.00
<b>3. Travel</b>	\$87,500.00
<b>4. Equipment</b>	\$0.00
<b>5. Supplies</b>	\$80,000.00
<b>6. Contractual</b>	\$729,000.00
<b>7. Construction</b>	\$0.00
<b>8. Other</b>	\$0.00
<b>Total Direct Charges</b>	\$5,562,184.00
<b>Indirect Charges (0%)</b>	\$0.00
<b>Total</b>	\$5,562,184.00
<b>Balance of Available Funds</b>	\$2,184,516.00
<b>Reconciliation of Funds</b>	
<b>Funds from FFY 2019</b>	\$3,250,000.00
<b>Less: Funds Expended</b>	\$3,250,000.00
<b>Balance of Remaining FFY 2019</b>	\$0.00
<b>FFY 2020 Set-Aside Available</b>	\$4,496,700.00
<b>FFY 2020 Set-Aside Needed</b>	\$2,312,184.00
<b>FFY 2020 Set-Aside Remaining</b>	\$2,184,516.00

**DWSRF FFY20 Program Management  
Administer PWSS Program  
Budget Back up**

Summary

Proposed Use of Funds:

**Total Personal Service**

**\$ 2,958,394**

a. Personal Service - Existing Positions

<b>TITLE/ GRADE</b>	<b>ANNUAL SALARY</b>
Assistant Engineer, G-20	\$79,577
Assistant Engineer, G-20	\$66,944
Assistant Engineer, G-20	\$66,944
Assistant Engineer, G-20	\$66,944
Health Program Administrator, G-18	\$56,604
Laboratory Specialist, G-18	\$68,092
Principal Sanitarian, G-23	\$92,693
Principal Sanitarian, G-23	\$92,693
Principal Sanitarian, G-23	\$92,693
Professional Engineer 1, G-24	\$97,448
Professional Engineer 1, G-24	\$97,448
Professional Engineer 1, G-24	\$97,448
Professional Engineer 1, G-24	\$97,448
Professional Engineer 1, G-24	\$97,448
Professional Engineer 1, G-24	\$97,448
Professional Engineer 1, G-24	\$97,448
Professional Engineer 1, G-24	\$97,448
Professional Engineer 1, G-24	\$97,448
Professional Engineer 1, G-24	\$94,967
Professional Engineer 1, G-24	\$94,967
Professional Engineer 1, G-24	\$94,967
Program Aide, G-13	\$46,280
Public Hlth Spec. 3, G-23	\$92,693
Public Hlth Spec. 3, G-23	\$92,693
Public Hlth Spec. 3, G-23	\$92,693
Research Scientist 2, G-22	\$83,536
Research Scientist 2, G-22	\$83,536

Research Scientist 3, G-25	\$89,207
Research Scientist 4, G-27	\$111,316
Research Scientist 4, G-27	\$111,316
Research Scientist 4, G-27	\$108,482
Secretary 1, G-11	\$47,886
Secretary 1, G-11	\$46,355
Senior Sanitarian, G-18	\$56,604
Senior Sanitarian, G-18	\$66,148
Senior Sanitarian, G-18	\$71,980
<b>TOTAL:</b>	<b>\$2,948,394</b>

- b. Non-Employee Services N/A
- c. Overtime \$10,000

**Other Than Personal Services**

**\$2,603,790**

- a. Supplies and Materials \$80,000

General office supplies, software maintenance and licenses, card printer, sampling materials including sample bottles, coolers, chemicals and packing materials for shipping of samples.

- b. Equipment \$ 0

- c. Travel \$87,500

The Department's responsibilities and activities associated with the Source Protection and Capacity Development programs will require extensive travel by staff. Staff will travel to perform inspections, evaluations, attend negotiations and meetings, and to attend public hearings as required. Program staff expected to be travelling are: Public Health Specialists, Sanitarians, and Engineers.

- d. Contractual Services \$729,000

1) The Department plans to enter into a contract with a chosen vendor to provide, a four-day sanitary training course using USEPA approved course curriculum and training will be provided. The course will combine basic water treatment knowledge with application of SDWA regulations to identify sanitary deficiencies. \$ 50,000

2) A contract is in place to implement a program of technical assistance for nontransient, noncommunity public water systems and small community water systems, such as mobile home parks. \$325,000

3) An MOU agreement is in effect with SUNY Albany to support the Bureau's work toward identifying and maintaining water service boundaries (information that is essential to understanding the impacts of new rule implementation), improving the quality of data within SDWIS, and maintaining and updating critical infrastructure data. Graduate interns will complete this work. \$ 64,000

4) The Bureau has purchased water analysis services from Certified Laboratories to provide testing of both raw and drinking water for public water systems that are supervised by the Department's District Office staff. These District Offices are located in rural areas throughout NYS and require a local laboratory to perform water testing in order to meet the required temperature and time requirements for water analysis. The contract is ongoing. \$ 90,000

5) Information Technology Services are provided to the Department of Health by the NYS Office of Information Technology. \$200,000

e. Fringe Benefits @57.71% \$1,707,290

Health Insurance  
Pensions  
Social Security  
Workers' Compensation  
Employee Benefit Funds  
Dental Insurance  
Unemployment Benefits  
Vision Benefits  
Survivors' Benefits

f. Indirect Cost – waiver \$ 0  
The Center for Environmental Health will request an indirect waiver for this grant.

**EXPENDITURE PLAN - GRAND TOTAL:** **\$ 5,562,184**

### NECESSARY STATE MATCH

<u>FFY</u>	<u>Federal Grant</u>	<u>State Match</u>
1997	\$59,167,700	\$11,833,540
1998	\$45,061,600	\$9,012,320
1999	\$47,228,900	\$9,445,780
2000	\$49,084,500	\$9,816,900
2001	\$49,287,400	\$9,857,480
2002	\$62,430,700	\$12,486,140
2003	\$62,055,500	\$12,411,100
2004	\$64,373,600	\$12,874,720
2005	\$64,237,300	\$12,847,460
2006	\$36,636,100	\$7,327,220
2007	\$36,638,000	\$7,327,600
2008	\$36,265,000	\$7,253,000
2009	\$36,265,000	\$7,253,000
2009 ARRA	\$86,811,000	\$0
2010	\$89,427,000	\$17,885,400
2011	\$62,055,000	\$12,411,000
2012	\$60,923,000	\$12,184,600
2013	\$55,485,000	\$11,097,000
2013 DRAA	\$56,572,914	\$11,314,583
2014	\$42,455,000	\$8,491,000
2015	\$43,865,729	\$8,773,146
2016	\$39,900,000	\$7,980,000
2017	\$39,559,000	\$7,911,800
2018	\$45,363,000	\$9,072,600
2019	\$44,938,000	\$8,987,600
2020	\$44,967,000	\$8,993,400
Totals:	\$1,361,052,943	\$254,848,389

### DWSRF STATE MATCH

<u>Date</u>	<u>Amount</u>
February 3, 1998	\$44,700,000
July 9, 1998	\$5,200,000
July 9, 1998	\$50,000,000
September 17, 1999	\$100,000
September 26, 2000	\$35,000,000
August 30, 2001	\$50,000,000
September 30, 2002	\$50,000,000
September 9, 2003	\$30,000,000
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	\$265,000,000